

# IRA Beneficiary Claim Request

Complete this form if you are the beneficiary of a Wells Fargo Funds traditional IRA, Roth IRA, SEP-IRA, or SIMPLE IRA and the IRA owner has passed away. Each beneficiary must complete a separate request and have his or her signature **Medallion Guaranteed**. If you have questions or would like help completing this form, call 1-800-222-8222.

P.O. Box 219967 | Kansas City, MO 64121  
wellsfargofunds.com

## 1 DECEASED IRA OWNER INFORMATION (PLEASE PRINT)

We require a copy of the death certificate with this form.

Name of deceased IRA owner (first, middle initial, last)

Social Security number

Date of birth (mm/dd/yyyy)

Date of death (mm/dd/yyyy)

Check the following box or provide the fund and account numbers below.

I would like to claim my portion of the assets for all IRAs under the above Social Security number on which I am a beneficiary.

Fund and account number

Fund and account number

Fund and account number

Fund and account number

## 2 BENEFICIARY INFORMATION (PLEASE PRINT)

Name of beneficiary (first, middle initial, last) or entity

Beneficiary's Social Security/  
taxpayer ID number

Date of birth (mm/dd/yyyy)

Name(s) of trustee(s) or personal representative (if applicable)

Date of trust (if applicable)

U.S. residential street address

City

State

ZIP code

U.S. mailing address (if different than the residential street address)

City

State

ZIP code

Daytime phone

Evening phone

**Beneficiary relationship to the IRA owner:**  Spouse or  Non-spouse

*Note: An inheritance tax waiver may be required for certain states. Please consult your tax advisor or probate office to determine if one is required.*

## 3 BENEFICIARY OPTIONS

**Choose one:**

Liquidate the entire account balance. (If you select this option, proceed to section 6.)

Transfer the assets into an IRA in my name (available for **spouse only**): \_\_\_\_\_  
Fund and account number for IRA (or list "New Account" if new\*)

Transfer the assets into a beneficiary IRA.\*\*

**Important note:** If the assets are transferred into your IRA (spouse only) or into a beneficiary IRA, you may be required to establish or continue taking required minimum distribution (RMD) payments unless the RMD was already fulfilled with Wells Fargo Funds or at another institution. Please consult with a tax advisor regarding available options. If you would like to request the RMD payment for the current year, please complete section 4 of this form.

\* If you are establishing a new IRA, complete and submit a Wells Fargo Funds IRA Application with this form.

\*\* A completed Wells Fargo Funds IRA Application is required with this form. If a trust is the beneficiary, include a copy of the title and signature pages of the trust document. If the estate is the beneficiary, include the certified Letters of Testamentary.

**4 COMPLETE IF THE DECEDENT WAS OVER AGE 70½**

If the decedent was over age 70½, passed away in the current year, and did not take the RMD this year, complete the following if you are not requesting to liquidate the entire account. If the decedent passed away in a previous year, contact your tax advisor for additional information.

**Choose one:**

- Mail a check for the RMD to the address listed in section 2 of this form.
- Send the RMD via electronic funds transfer (EFT) to the bank account indicated on the attached preprinted, voided check. I understand that this service is governed by the terms and conditions explained in section 8 of this form and that the proceeds will normally arrive at my bank within two banking days.
- The RMD has been satisfied.

*Note: If no box is checked, we will not pay out the RMD. It is your responsibility to ensure that the RMD has been satisfied.*

**5 ESTABLISH PERIODIC DISTRIBUTIONS FOR FUTURE REQUIRED MINIMUM DISTRIBUTIONS (OPTIONAL)**

If the assets are transferred into your IRA (spouse only) or into a beneficiary IRA, you may be required to establish RMD payments. Complete this section to establish a periodic distribution for your RMD. The RMD amount will be calculated based on IRS regulations.

**Choose one:**

- Monthly**, beginning (specify month and date): \_\_\_\_\_
- Quarterly**, to be paid in March, June, September, and December (specify date of month): \_\_\_\_\_
- Annually**, on (specify month and date): \_\_\_\_\_

*Note: Unless specified above, periodic distributions will be made on the 25th day of the month. If the date falls on a weekend or holiday, your distribution will occur on the next business day. If the next business day falls in the next month, the distribution will cycle on the previous business day. If an annual payment frequency is selected and no month is listed, redemptions will be made in December.*

**6 TAX WITHHOLDING**

**If no box is checked, we will withhold 10%.**

Complete this section if you have requested a one-time or periodic distribution (including an RMD) to elect your federal income tax withholding amount.

**The amount indicated for federal tax withholding will be sent to the IRS (choose one):**

- Do **not** withhold federal income tax from my distribution.
- I elect to have \_\_\_\_\_ % federal income tax withheld from my distribution (**must be 10% or greater**).
- In addition to the above percentage, I elect to have \$ \_\_\_\_\_ federal income tax withheld from my distribution. (Specific dollar withholding is only available for one-time distributions.)

**Important state tax withholding information:** Certain states require us to withhold state income tax from your distributions. If you reside in a state that requires withholding, we will withhold state income tax in accordance with the respective state's rules. Contact your tax advisor or your state tax authority with questions specific to your situation.

*Note: If no withholding election is indicated above, IRS regulations require that 10% federal tax withholding be taken from your distributions. We encourage you to consult your accountant or tax advisor regarding your IRA distributions. Even if you elect not to have federal income tax withheld, you are liable for payment of federal income tax on the taxable portion of your distributions. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding are not adequate.*

**7 PAYMENT METHOD FOR ONE-TIME AND PERIODIC DISTRIBUTIONS**

**Choose one:**

- I would like this distribution to be paid by check and sent to the mailing address listed in section 2 of this form.
- I would like this distribution to be paid by check and mailed to the temporary address listed below.

\_\_\_\_\_  
U.S. mailing address City State ZIP code

- I would like this distribution to be sent via EFT to the bank account indicated on the attached preprinted, voided check. I understand that this service is governed by the terms and conditions explained in section 8 of this form and that the proceeds will normally arrive at my bank within two banking days.
- I would like a one-time distribution to be paid by wire transfer. Wire the proceeds of this distribution request to the bank account indicated on the attached preprinted, voided check. I understand that a wire fee will be deducted from the account balance.

**8** BANK INFORMATION

To establish account options by EFT at any time, all Wells Fargo Funds account owners must be listed in the bank account registration or a Medallion Guarantee may be required.

Wells Fargo Funds, Wells Fargo Funds Management, LLC, affiliates, and subcontractors—as well as the officers, directors, employees, and agents of these entities (collectively, Wells Fargo)—will not be responsible for banking system delays beyond their control.

I understand that by executing this document, I herein authorize my bank to honor all entries to my bank account initiated through State Street Bank and Trust Company or any successor, on behalf of the applicable fund. I acknowledge and understand that Wells Fargo will not be liable for acting upon instructions believed genuine and in accordance with the procedures described in the prospectus or the rules of the Automated Clearing House. I further agree that any such authorization, unless previously terminated by my bank in writing, is to remain in effect until Wells Fargo receives, and has a reasonable amount of time to act upon, a subsequent notice.

**9** SIGNATURE

I authorize Wells Fargo Funds and its transfer agent to process the request for the account(s) indicated in section 1 of this form. I understand that for a complete liquidation, a \$10 distribution fee may be collected by redeeming sufficient shares from the account. I further understand that I am responsible for any tax consequences that may result from the election I have made and agree that Wells Fargo is not responsible for legal or tax advice and that I should consult a tax or legal advisor with any questions. I certify that the information I have provided is true, complete, and correct.

I certify under penalties of perjury that: the number shown on this form is the correct taxpayer ID number (or that I am waiting for a number to be issued to me); I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and I am a U.S. person (including a U.S. resident alien); and I am exempt from U.S. Foreign Account Tax Compliance Act (FATCA) reporting. Check below if you are subject to backup withholding.

I have been notified by the IRS that I am subject to backup withholding because of underreporting interest or dividends on my tax returns.

*The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.*

To complete this request, your signature must be Medallion Guaranteed.

**X** \_\_\_\_\_  
Signature of beneficiary, personal representative, or trustee(s)  
\_\_\_\_\_  
Print name  
\_\_\_\_\_  
Date

Medallion Guarantee\*\*\*

\*\*\* A Medallion Guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, savings associations, credit unions, and brokerage firms that participate in the Medallion Program. The bar-coded stamp with the words "MEDALLION GUARANTEED" must be stamped near each signature being guaranteed. The guarantee must appear with the name of the guarantor institution and the signature of an individual authorized on behalf of the guarantor institution. **Note that a notary public stamp or seal is not acceptable.**

Before you mail, have you:  Enclosed a copy of the death certificate?  Signed this form in section 9?  
 Had your signature Medallion Guaranteed?

**MAIL**

Wells Fargo Funds  
P.O. Box 219967  
Kansas City, MO 64121

**OVERNIGHT**

Wells Fargo Funds  
c/o DST Asset Manager Solutions  
430 W. 7th Street, STE 219967  
Kansas City, MO 64105